

ESG Ratings – Global & Regional Leaders

March 2012

This factsheet contains the top 10 companies based on Region and Overall ESG Rating. (Only companies that have passed the FTSE4Good criteria are presented.)

For more information and additional details on the FTSE ESG Ratings methodology, please visit www.ftse.com/analytics/ftse4good-ESGRatings.

Global

Company	Country	Overall ESG Rating	Overall ESG Rating: Supersector Relative	ICB Supersector
Vivendi	FRA	5	100	Media
Westpac Banking Corp	AU	4.8	99	Banks
Nokia	FIN	4.8	100	Technology
Bank Hapoalim	ISR	4.8	99	Banks
Norsk Hydro	NOR	4.8	100	Basic Resources
UBS	SWIT	4.8	99	Banks
Aviva	UK	4.8	100	Insurance
BT Group	UK	4.8	100	Telecommunications
Diageo	UK	4.8	100	Food & Beverage
Go-Ahead Group	UK	4.8	100	Travel & Leisure
WPP	UK	4.8	100	Media

Europe (excluding UK)

Company	Country	Overall ESG Rating	Overall ESG Rating: Supersector Relative	ICB Supersector
Vivendi	FRA	5	100	Media
Nokia	FIN	4.8	100	Technology
Norsk Hydro	NOR	4.8	100	Basic Resources
UBS	SWIT	4.8	99	Banks
STMicroelectronics	FRA	4.7	98	Technology
AXA	FRA	4.6	98	Insurance
Aegon	NETH	4.6	98	Insurance
Koninklijke Philips Electronic	NETH	4.6	100	Personal & Household Goods
Unilever	NETH	4.6	99	Food & Beverage
Storebrand	NOR	4.6	98	Insurance
ABB	SWIT	4.6	97	Industrial Goods & Services

ESG RATINGS

Overview

There is a growing demand for Environmental, Social and Governance (ESG) factors to be incorporated into investment decision making and stewardship. The FTSE4Good ESG Ratings provide objective ESG risk and performance data that is based on clear and easy to apply methodologies

Measuring risk and performance

Companies with higher ESG risks must achieve more to obtain a high score. The ratings indicate a company's success at managing its company-specific ESG risks

Clear methodology and robust governance

The FTSE4Good ESG Ratings follow clearly defined risk and performance thresholds defined in publicly available criteria. To ensure their quality and accuracy, an independent committee oversees the ratings, while leading research provider, EIRIS, conducts independent research

The six ESG themes cover Environmental Management, Climate Change, Supply Chain Labour Standards, Human & Labour Rights, Corporate Governance and Countering Bribery

Companies' overall ESG rating is scored on a scale from 0-5, with a supersector-relative score out of 100

UK

Company	Country	Overall ESG Rating	Overall ESG Rating: Supersector Relative	ICB Supersector
Aviva	UK	4.8	100	Insurance
BT Group	UK	4.8	100	Telecommunications
Diageo	UK	4.8	100	Food & Beverage
Go-Ahead Group	UK	4.8	100	Travel & Leisure
WPP	UK	4.8	100	Media
GlaxoSmithKline	UK	4.6	100	Health Care
National Grid	UK	4.6	100	Utilities
Unilever	UK	4.6	99	Food & Beverage
Capita	UK	4.5	96	Industrial Goods & Services
De La Rue	UK	4.5	96	Industrial Goods & Services
HSBC	UK	4.5	95	Banks
Shaftesbury	UK	4.5	100	Real Estate
Standard Chartered	UK	4.5	95	Banks

North America

Company	Country	Overall ESG Rating	Overall ESG Rating: Supersector Relative	ICB Supersector
Intel Corp	USA	4.7	98	Technology
Hewlett-Packard	USA	4.5	97	Technology
Telus Corporation	CAN	4.3	100	Telecommunications
BCE Inc	CAN	4.2	98	Telecommunications
Canadian Pacific Railway	CAN	4.2	95	Industrial Goods & Services
Ford Motor	USA	4.2	97	Automobiles & Parts
Johnson Controls	USA	4.2	99	Automobiles & Parts
Disney (Walt) Company	USA	4.1	95	Media
Rogers Communications	CAN	4	84	Telecommunications
TransAlta Corporation	CAN	4	90	Utilities
Baxter Intl	USA	4	93	Health Care
Citigroup	USA	4	84	Banks
Humana	USA	4	93	Health Care
NYSE Euronext	USA	4	96	Financial Services
Yahoo	USA	4	92	Technology

Australia & New Zealand

Company	Country	Overall ESG Rating	Overall ESG Rating: Supersector Relative	ICB Supersector
Westpac Banking Corp	AU	4.8	99	Banks
Insurance Australia Group	AU	4.6	98	Insurance
National Australia Bank	AU	4.6	97	Banks
Tabcorp Holdings	AU	4.4	100	Travel & Leisure
ASX	AU	4.3	100	Financial Services
Commonwealth Property Office Fund	AU	4.3	100	Real Estate
AMP	AU	4.2	92	Insurance
Australia & New Zealand Banking Group	AU	4.2	87	Banks
BHP Billiton Ltd	AU	4.2	99	Basic Resources
Brambles Ltd	AU	4	93	Industrial Goods & Services
CFS Retail Property Trust	AU	4	98	Real Estate
GPT Group	AU	4	98	Real Estate
Perpetual	AU	4	96	Financial Services
Sonic Healthcare	AU	4	93	Health Care
Chorus	NZ	4	84	Telecommunications
Kiwi Income Property Trust	NZ	4	98	Real Estate
Sky City Entertainment Group	NZ	4	97	Travel & Leisure

Asia (excluding Japan)

Company	Country	Overall ESG Rating	Overall ESG Rating: Supersector Relative	ICB Supersector
Amorepacific Corp	KOR	4.3	98	Personal & Household Goods
KB Financial Group	KOR	4	84	Banks
Hang Seng Bank	HK	3.8	81	Banks
Hong Kong Exchanges & Clearing	HK	3.8	90	Financial Services
Li & Fung	HK	3.7	86	Personal & Household Goods
Shinhan Financial Group	KOR	3.6	71	Banks
Samsung Electro	KOR	3.5	84	Industrial Goods & Services
CapitaMall Trust	SI	3.5	85	Real Estate
Singapore Exchange	SI	3.5	83	Financial Services
StarHub	SI	3.5	57	Telecommunications

Japan

Company	Country	Overall ESG Rating	Overall ESG Rating: Supersector Relative	ICB Supersector
Nomura Holdings	JA	4.4	100	Financial Services
Canon Marketing Japan	JA	4.3	96	Technology
Tokio Marine Holdings	JA	4.3	94	Insurance
Dai Nippon Printing	JA	4.2	97	Media
Marubeni Corp	JA	4.2	94	Industrial Goods & Services
Kokuyo	JA	4	94	Personal & Household Goods
Konica Minolta Holdings	JA	4	92	Technology
Nissha Printing	JA	4	93	Industrial Goods & Services
NKSJ Holdings	JA	4	89	Insurance
Sony Corp	JA	4	94	Personal & Household Goods
Toppan Printing	JA	4	93	Industrial Goods & Services

Average overall ESG rating by country

Country	Average Overall ESG Rating	Country	Average Overall ESG Rating
Netherlands	3.56	Denmark	2.94
UK	3.49	Greece	2.94
Sweden	3.44	Switzerland	2.90
New Zealand	3.41	GLOBAL AVERAGE	2.69
Norway	3.39	Austria	2.65
France	3.31	Japan	2.64
Italy	3.24	Canada	2.63
Spain	3.21	USA	2.59
Finland	3.19	South Korea	2.37
Belgium	3.09	Israel	2.24
Germany	3.07	Ireland	2.10
Portugal	3.04	Singapore	1.87
Australia	3.01	Hong Kong	1.22

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